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In re Patent Application of:

PHILIP T. DAVIS, ET AL.

Serial No.: 09/328,856

Filed: June 9, 1999

For: METHOD AND SYSTEM FOR
PROVIDING INSURANCE PROTECTION
AGAINST LOSS OF RETIREMENT
ACCUMULATIONS IN A TAX FAVORED
DEFINED CONTRIBUTION PLAN IN THE
EVENT OF A PARTICIPANT'S DISABILITY

Art Unit: 2166

Examiner: S. RIMELL

Technology Center 2100

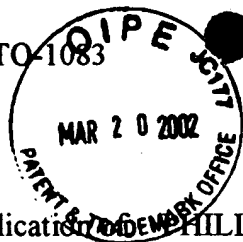
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March 11, 2002
Date of Deposit
Richard H. Zellen, Reg. No. 27,248
Name
Signature
3/11/02
Date

SECOND SUPPLEMENTAL AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Further to the Applicants' Supplemental Amendment and
Response, which was submitted on February 28, 2002, the Applicants
hereby submit the instant Second Supplemental Amendment and
respectfully request that the above-referenced application be
amended as follows and the accompanying remarks considered.



In re Application of PHILIP T. DAVIS, ET AL.

Serial No.: 09/328,856

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For: METHOD AND SYSTEM FOR PROVIDING INSURANCE
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FAVORED DEFINED CONTRIBUTION PLAN IN THE EVENT OF A PARTICIPANT'S
DISABILITY

Date: March 11, 2002

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Group Art Unit: 2166

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

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MAR 22 2002

Sir:

Technology Center 2100

Transmitted herewith is a Second Supplemental Amendment and Response in the above-identified application.

_____ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

_____ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

_____ Certified copy of Patent Application No. _____ filed _____ from which priority is claimed under 35 U.S.C. §119.

☒ No additional fee is required.

The fee has been calculated as shown below:

	(co. 1) Claims Remaining After Amendment		(Col. 2) Highest No. Prev. Paid For	(Col. 3) Present Extra	Small Entity/ Large Entity Rate	Additional Fee Due
Total Claims	19	Minus	45	0	x9 x18	0
Ind. Claims	3	Minus	3	0	x40 x80	0
First Presentation of Multiple Dependent Claims					+270 +135	0
TOTAL						\$ 0.00

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 X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

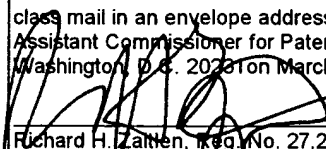
 X Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Richard H. Zaitlen
Reg. No. 27,248

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Richard H. Zaitlen, Reg. No. 27,248